

TWELVE-YEAR REPORT
2010 – 2022



The
Kelso Professorship
at
European University Viadrina
and the
Kelso Institute Europe at Berlin

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The Kelso Institute Europe

The Kelso Institute Europe (KIE) was founded in February 2020 by Prof. Lowitzsch and is financed by the Kelso Institute for the Study of Economic Systems in San Francisco and third-party funded projects. The KIE is designated to become home to the continuation of Louis O. Kelso's and Patricia Hetter Kelso's mission. Its geographic position between the West and the East is a huge asset for becoming a global centre for new ideas and contributing significantly to the further deepening of the European Union and sustainable development worldwide.

This undertaking is to be sustained in close cooperation with the Kelso Professorship of Comparative Law, East European Business Law and European Legal Policy, which Dr Lowitzsch in 2022 is now conducting in its twelfth academic year at European University Viadrina. A second complementary resource is the Inter-University Centre (see below) founded in 2000 by Prof. Herwig Roggemann and directed by Prof. Lowitzsch.

The Mission

How in a technologically advanced society are average people to earn a living? For more than two decades, the Kelso Institute has provided a forum in San Francisco to seek an answer to this question. Today, with automation and robotisation gaining pace and in the light of the climate crisis we are confronted with ever more pressing challenges inextricably linked with this query. Pivotal in this context are the energy and digital twin transitions, the trajectory to a climate-neutral circular society but also the future of democracy in the age of the internet.

In this light, it is the endeavour of the KIE to facilitate both a sustainable Energy Transition including consumer co-ownership in renewables, and to further broaden employee financial participation. The work program, therefore, embraces questions of ownership and privatisation, insolvency and restructuring, digitisation and information / communication technologies (ICT), and financial crises and their impact on the Welfare State.

Patricia and Louis Kelso Fellowships

The Patricia and Louis Kelso Fellowships are awarded to outstanding scholars studying topics related to the above-mentioned challenges. Research Fellows are global scholars invited to become part of the Institute's ongoing research because of their scholarship and experience. Ph.D. candidates, postdoctoral scholars, or visiting professors in the areas of law, philosophy, renewables / engineering, economics, history, political science, psychology, public policy, or sociology may apply.

Profile of the Kelso Professorship

The Kelso Professorship of Comparative Law, East European Business Law and European Legal Policy is in its eleventh academic year at the European University Viadrina. Endowed by the Kelso Institute for the Study of Economic Systems, San Francisco, the Kelso Chair took up its activities on 1 October 2010 at the Faculty of Business Administration and Economics. Embedded in Viadrina's Masters of European Studies and of International Business Administration, the foci of the chair are:

- European legal policy, sustainability, and digitalisation;
- Employee financial participation (EFP);
- Consumer (co-)ownership in just and inclusive sustainable transitions;
- Property rights and privatisation, and the reform of the welfare state;
- Insolvency, reorganisation, and financial crises.

Drawing upon jurisprudence and political economy to create a new sub-discipline devoted to adapting legal, economic and business institutions to the needs of a fully-integrated Europe was the result of the longstanding and fruitful relationship with Prof. Dr Dr h.c. Herwig Roggemann, founding director of the Inter-University Centre at Freie Universität Berlin. Other than enriching the parent disciplines the aim is to stimulate new ideas for harmonising Europe's diverse laws and practices.

The chair is a consultant to the European Commission (EC), the European Parliament (EP), the European Economic and Social Committee (EESC) and the Committee of the Regions (CoR) and was actively involved in:

- CoR: Opinion "Multilevel governance and cross-sectoral cooperation to fight energy poverty" (CDR 5877/2018);
- EP: Own-Initiative report and resolution on "the role of employee financial participation in creating jobs and reactivating the unemployed" (2018/2053(INI));
- EC: Pilot project and Study "The Promotion of Employee Ownership and Participation" 2014/15 (MARKT/2013/0191F2/ST/OP);
- EP: Own-Initiative report and EP Resolution on "financial participation of employees in companies' proceeds" 2013/14 (2013/2127(INI));
- EP Study "Financial Participation in Companies' Proceeds" 2012 (PE 475.098);
- EESC Own-Initiative Opinion SOC 371 on EFP in Europe, 2010 (OJ C 51, 17.2.2011).

The Inter-University Centre

The Centre of German, Croatian, European and Comparative Law at Freie Universität Berlin (Inter-University Centre) was founded in 2000 as a co-operation with the University of Split, Croatia by Prof. Roggemann. Until 2010, Dr Lowitzsch, as assisting director, was responsible for the acquisition of third-party funds.

In summer 2013, both European University Viadrina and Université Paris 1 Pantheon-Sorbonne joined the partnership with Dr Lowitzsch being appointed Director for the period 2013 – 2018. The enlargement of the partnership embraced a thematic expansion now including law and economics as well as political and social sciences. The centre continues to promote international scientific co-operation and to give policy advice in these fields in pursuit of the following aims:

- Policy advice to European institutions;
- Intensifying the privileged partnership Viadrina – Paris 1;
- Strengthening the Central and Eastern European focus:
 - Emphasis on Poland: Co-operation with PAN, SGH and CASE foundation;
 - The Centre's Southeast European network: Split, Zagreb, Mostar, Sarajevo, Belgrade, Sofia;
 - Russia co-operation: St Petersburg and Moscow universities;
- Linking regional projects of Viadrina and FU Berlin;
- Co-operating with the Adam Mickiewicz University Poznań;
- Developing third-party funded projects to form consortia:
 - International university co-operation projects, e.g., Horizon 2020;
 - Comparative research at the EU level;
 - Interdisciplinary network in all EU-27;

The Inter-University Centre has three Deputy Directors:

- In Paris, Prof. Nicolas Hubé, Maître de conférences (Centre européen de sociologie et de science politique);
- In Berlin, Prof. Dr Helmut Grothe, Director of the Institute for International Private Law and Civil Procedure Law at the Freie Universität Berlin, Department of Law;
- In Split, the current Dean of Split Faculty of Law (presently Prof. Dr Ratko Brnabić, Chair of Administrative Science at the Split Faculty of Law).

The centre operates an own website www.intercentar.de in German, English, French and Polish, a youtube channel (see QR-code) and is present on social media (Facebook | Twitter).



T o w a r d s a “ J u s t C i r c u l a r S o c i e t y ” W o r k P r o g r a m u n t i l 2 0 3 0

The KIE supports a fair and equitable transition to climate neutrality along the three **Tenets of Transitional Justice**: distribution, recognition, and procedure. This requires:

- firstly, to analyse the de facto distributional effects;
- secondly, understanding justice as recognition, to detect whom this transition mostly affects by analysing subjective perceptions and objective capabilities;
- thirdly, based on best practice, to foster procedural justice by developing strategies for active empowerment of those most at risk of being “left behind”.

Therefore, the team of the KIE is committed to investigate – from a system perspective – how the 2030 energy and climate targets and the transition to climate neutrality by 2050 affect the RECOVER fields of delivery, with the aim to achieve a Just Circular Society, specifically focusing on declining and transforming sectors.

Motivation: Achieving a Just Circular Society

From a technical point of view, the path to climate neutrality is resting on the two pillars of the energy and digital transition. But the successful shift to 100% RE in power and heat, increased energy efficiency (EE) and a circular economy – deeply anchored in the advancement of ICT – is dependent on a profound co-ordinated societal transition. This “dual transition”, apart from adapting to and accepting new technologies, requires significant changes of consumption and mobility behaviour affecting society asymmetrically and produces uneven outcomes, already clearly manifested in the growing tensions between urban and rural populations. Additional to the behavioural trajectory to a circular economy, tensions between centre and periphery, between generations and between those benefitting and those losing, need to be overcome if disadvantaged groups are not to be antagonised. Social cohesion, acceptance, and commitment is necessary to achieve a successful dual societal transition.

With the energy sector (being the core area of decarbonisation) as point of departure on the way to climate neutrality, our reference system includes digitisation, circular economy as well as tensions between urban and rural areas. The circular economy is key in tackling the climate crisis: 55% of emissions are being addressed by energy transition, the remaining 45% by the circular transition; however, *circular economy* approaches alone cannot fully address the societal changes needed to achieve full circularity of resources. Only the broader concept of a *circular society* can grasp the far-reaching changes needed in society to achieve carbon neutrality on our lone “Spaceship Earth” (Boulding).

Third-party funded projects

The Kelso Professorship and since 2020 the Kelso Institute Europe has successfully applied for 21 third-party funded projects with an overall volume of more than 4.5 million Euro over the past ten years, of which 1.9 million Euro spent at Viadrina.

Project	EUR
Service contracts (Tenders) / European Commission & National Governments	
Fintech Platform Solution for Sustainable Energy System Intracting & Contracting (ERA NET)	131,000
Incidence of employee share ownership in Germany and Europe (BMWl)	133,066
"Promotion of employee ownership and participation" – Pilot project (DG MARKT)	295,249
Employee financial participation in public services in the European Union (CEEP)	38,000
Horizon 2020 & European Commission Calls for Proposals	
WIMBY – Wind In My Back Yard (HORIZON Europe)	370,000
Supporting consumer ownership in renewable energies – SCORE (H2020, Coordinator)	1,988,625
Migration and asylum policies systems: Weaknesses, shortcomings, reforms – MAPS (EC)	45,000
Baltic III – Expansion of transnational experts network raising awareness for EFP (EC)	314,051
Baltic II – Establishment of a transnational experts network for EFP (EC)	345,880
Pro-EFP – The Week of Financial Participation in Brussels (EC)	191,159
Collective bargaining & representativeness of temporary workers in Europe (EC)	139,717
National Research Agencies / EUV funding	
PEPPER V	90,000
Energy transition: Financing consumer co-ownership in RE – 18 country studies (Kelso Inst.)	160,000
Baltic I – New social co-operation model: Raising employees' involvement in EFP (Nordmetall)	14,075
Employee ownership and participation in decision making (Hans-Böckler-Stiftung)	67,800
Development of an employee ownership plan in Germany and Poland (DPWS)	50,000
Employee participation in small and medium-sized enterprises (LASA)	166,213
New b/orders of Social Europe in the course of the Euro crisis (EUV Seed-Money)	106,000
ICEE Tax (Kelso Institute, Hochschulpakt)	82,500
Procida Symposia & Summer Schools	
Symposium 2019 "Property rights, digitalisation and the energy transition" (Kelso Institute)	8,700
Symposium 2017 "Towards a re-conceptualisation of property rights" (Kelso Institute)	10,000
Summer school "New b/orders of Social Europe in the course of the Euro crisis" (DFH)	10,000
Supplementary expenses "European EFP projects" (Kelso Institute)	
	16,980
Total	4,774,015

1. **WIMBY – Wind In My Back Yard** (IA, HORIZON Europe, Dec.2022 – July 2026)

While wind power is one of the fastest growing, most mature and cost-competitive renewable energy (RE) technologies, its deployment faces significant challenges due to low acceptance amongst societal actors. Factors such as restrictive regulation, disinformation, misinformation and concerns about changes to scenic landscapes, negative impacts on biodiversity, ecosystems and health impede the spread of new wind power installations. To increase acceptance, counterbalance “not in my backyard” (NIMBY) effects and foster support for wind power, WIMBY translates the results of cutting-edge in-depth models to assess impacts, conflicts, synergies and potentials for development of wind power into practical information for stakeholders. In a consortium of 16 partners from 10 countries the KIE’s will facilitate their societal engagement and in particular financial participation, key for a broad deployment of wind power across the EU.

2. **FinSESCO – Fintech Platform Solution for Sustainable Energy System Intracting and Contracting** (ERA-Net May 2022 – May 2025)

As of 2022, the thermal renovation rate in the building sector is many times too low to achieve the EU climate targets. Installing heat pumps or renovating compressed air systems requires know-how and capital. In a platform approach FinSESCO supports energy performance contracting and RE energy performance contracting. The platform will include components for portals that focus on private projects, but can also be used by companies and across sites to build intracting solutions. The project explores best use cases and test acceptance and attractiveness among stake-holders, reaching TRL7 within pilot implementation. The competences of the partners from 4 EU countries and India include the development of energy services, smart metering, machine learning, the implementation of energy contracting as a legal construct and social research.

3. **SCORE – Supporting Consumer Ownership in Renewable Energies** (Coordinator of a CSA under the HORIZON 2020 programme Apr. 2018 – Dec. 2021)

Changing energy systems from fossil fuels to renewable energy sources (RES) requires financial, technical and social innovation. A new energy infrastructure must be built and individuals motivated to adopt flexible consumption habits to match demand with the supply of volatile energy. Consumer co-ownership in RES has played an important part in success achieved so far, while guaranteed feed-in tariffs have facilitated the repayment of RES installation loans. A



RBB report on SCORE kick-off meeting

shift to auction systems favouring large-scale projects now threatens this incentive which up to now encouraged citizens to invest. The project addresses the challenge of retaining the benefits of individual consumer participation when moving to economies of scale: How can these new technologies be brought on stream while motivating individuals to adapt consumption to a volatile energy supply? It harnesses best practice models from across the EU such as energy co-operatives and limited partnerships and adapts them to these purposes, utilising the Consumer Stock Ownership Plan (CSOP) to include commercial investors and municipalities. SCORE facilitated Renewable Energy Communities (RECs) in three pilot regions in Italy, Czech Republic and Germany and in 30 follower cities across Europe (CZ, PL, IT, PT, ES, NL, DE, BG).

4. The PEPPER V Report – Employee financial participation in the EU-27 (ongoing)

Complying with the concept of the PEPPER reports (**P**romotion of **E**mployee **P**articipation in **P**rofits and **E**nterprise **R**esults) and building on them, the PEPPER V Report provides a solid basis for leveraging the development of employee financial participation (EFP) in the European Union in the context of the current reform process triggered by the European Commission and Parliament with the own-initiative report “The role of EFP in creating jobs and reactivating the unemployed” (2018/2053(INI)). It summarises and updates the previous PEPPER I-IV reports (1991, 1997, 2006, 2009), including country profiles for all 27 EU Member States. The PEPPER V Report is edited by Jens Lowitzsch (Inter-University Centre) and Iraj Hashi (Staffordshire University).

5. Incidence of employee share ownership in Germany and Europe – Development prospects (Aug. 2019–Apr. 2020)

The study commissioned by the German Federal Ministry for Economic Affairs and Energy provides an overview of employee share ownership (ESO) models and their incidence in the EU Member States, with a focus on Germany. The focus here is on SMEs on the one hand and start-ups on the other. The study provides a systematically structured overview of current information in the following areas: Analysis of empirical data; the situation in Germany, the 27 EU Member States and Great Britain (legal framework, tax incentives, attitude of social partners and politics); case studies and best practice; ESO in small enterprises, e.g., to reactivate the unemployed; harmonisation at EU level; tax incentives; effectiveness of support measures for ESO; promotion of ESO in start-ups. This includes a screening of 27 EU Member States and the



Presentation of the study at the final workshop in the Ministry

United Kingdom, European best practice examples illustrated by case studies and recommendations to German policy makers had a focus on SMEs and start-ups. This project was implemented by a consortium co-ordinated by the Kelso Chair.

6. *Migration and asylum policies systems: Weaknesses, shortcomings and reform proposals – MAPS Jean Monnet Network (Sept. 2018–July 2022)*

To foster coordination between the academic institutions, policy makers and civil society organisations specialised in migration and asylum at national and international level the MAPS Jean Monnet Network was set up in 2018. MAPS provides an international



platform of know-how on legal tools and best practices for stakeholders and provides a coherent framework for risk assessment and sustainable management of different migration and asylum policies in EU member States. Comparative research on differences in the asylum and migration laws and policies of Member States delivers recommendations including for their implementation under conditions of distress as the ongoing crises in Syria, Iraq, Central

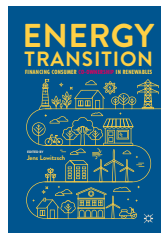
and East Africa. MAPS aims at highlighting key changes relating to general principles and safeguards of asylum system and the Corrective Allocation Mechanism (CAM) as regards as clearly differentiate between deficiencies in the legal design of the system and in its implementation, analysing weaknesses and the compliance with international law obligations to protect asylum claimants, refugees and migrants in general.

7. *Procida Symposium 2019 “Property rights, digitalisation & the energy transition”*

Access to clean and affordable energy, equitable and meaningful participation of citizens in the affluent economies of the 21st century and broadened capital ownership coupled with a fair distribution of benefits and burdens will be the touchstones for the success or failure of the transitions we are witnessing. With externalisation of production costs still on the rise energy justice, sustainability and containing global warming are key to preserving the planet for future generations. The extent to which negative external effects restrict the opportunities of future generations are nowadays reflected in the “Fridays for Future” demonstrations. Against this background, the participants of the symposium, which took place on the island of Procida, Italy, on 19-23 September 2019, critically reconsidered the role of property in our societies and to derive guidelines for its responsible usage. The interdisciplinary research group had met for the first time at the Symposium “Towards a Re-Conceptualisation of Property Rights” in May 2017 at the same venue.

8. *Energy transition: Financing consumer co-ownership in renewables – 18 Country studies and a comparative analysis (May 2017–Nov. 2019)*

The transposition of the recast Renewable Energy Directive (RED II) – in particular, its provisions on local RE communities – requires developing, implementing and rolling out business models that broaden the capital participation of consumers. The challenge is to include municipalities and/or commercial investors like SMEs and advance to economies of scale while retaining the benefits of individual consumer participation. To provide a basis for comparative e research in this area and to develop policy recommendation a network of more than 50 researchers contributed to this book project published with Palgrave Macmillan. Part One describes the rationale for consumer ownership in RE with regard to social, organisational, legal and financial conditions. Part Two discusses the issue of financing RE and introduces a new financing technique, the Consumer Stock Ownership Plan (CSOP), comparing it to traditional models. Part Three provides 18 country studies, including 10 from EU Member States, organised so as to enable a cross-country comparison of policy approaches and feasibility. All systematic chapters refer to the EU-27; policy recommendations are EU wide. Part Four summarises, compares the best practice cases, presents a cost-benefit analysis of “prosumage” and against this background evaluates the impact on future policy.



9. *Expansion of transnational experts network raising awareness of employee involvement in undertakings (Baltic III, Jan. 2018–Dec. 2019)*

Funded by the EC’s DG EMPL, the project expanded the transnational experts network on employee involvement and EFP that had been established during previous projects in the three Baltic states to Slovakia and Bulgaria and facilitated the exchange of best practice within this network. A key element was training trainers in Lithuania and Bulgaria, to enable them to develop innovative actions in their countries relating to employee involvement and EFP in companies. Part of the project’s results were two studies “Employee financial participation in Lithuania and the EU” and “Employee financial participation in Bulgaria and the EU”, designed to raise awareness and disseminate best practice among the social partners and other stakeholders in Lithuania and Bulgaria on EFP. The project benefitted from our longstanding expert network in all EU 27 countries stemming from the PEPPER reports.

10. *Establishment of a transnational experts network in the field of employee involvement/financial employee participation (Baltic II, Dec. 2016–June 2018)*

The project – funded by the EC’s DG EMPL – established an expert network in the Baltic states. National experts learned from the experience of German and European partners for properly and effectively implementing EFP schemes in the Baltic states. The project created a partnership to identify best practices in order to improve policy making and policy implementation while at the same time monitoring and reporting on Member State progress in promoting EFP. In doing so, the project involved key EU and national stakeholders.

11. *Procida Symposium 2017 “Towards a re-conceptualisation of property rights”*

In 2016, the Kelso Chair initiated the international and interdisciplinary research group “Property Rights, Globalisation and the Social Function of Property” with 22 participants from eight countries. The first meeting took place at the occasion of the Symposium “Towards a re-conceptualisation of property rights” on the Island of Procida, Italy, on 17-21 May 2017. From a legal point of view, the main thesis of this event was that an *obligation of sustainability* could be introduced into property law as an extension of the social function of property. This proposal assumed that – pursuant to the power of the legislator to determine the content and limits of property rights – a distinction must be made between different forms of property. Towards this end, the participants of the research group considered the *property of corporations* in the functional context of property rights as a hybrid category distinct from private and public property; a second main result was the introduction of the commons / the environment as a category to the system of property ownership.

12. *New social co-operation model – raising employees’ involvement in companies’ financial management & economic performance (Baltic I, Nov. 2015–Apr. 2017)*

Funded by the EC’s DG EMPL, the objective of the project was to transfer best practice experience on existing models and forms of participation of employees in management and results of undertakings. The involvement of employees in the company’s financial management and economic performance in Germany was transferred to the roof organisations of social partners representing the three Baltic States. Local partners were high representatives of the largest umbrella organisations of Lithuania, Latvia, Estonia workers and employers, as well as two employer’s representing educational institutions active in the field of social dialogue at national and international levels. All project activities were implemented to enable the participating social partners and the employees

at company level to familiarise themselves with EU law and policies of employee involvement as well as to exercise their rights and their duties to this regard.

13. *The Procida Harbour CSOP / 2^{nda} Università di Napoli*

The harbour of the small Mediterranean island of Procida, located in the Gulf of Naples, used to be publicly owned until it was privatised. In 2003, harbour concessions in Italy became regional and ports were partially privatised. The municipality of Procida retained an ownership stake of 49% in their main harbour with the controlling interest in the harbour acquired by the former CEO of the previously state-owned harbour management enterprise “Italia Navigando”. Unable to match a capital increase of EUR 700,000 in 2014, the municipality of Procida is now threatened to lose its ownership share to the private majority owner. In co-operation with the Seconda Università di Napoli, the project assesses whether a CSOP could purchase the community ownership stake from the municipality on behalf of the citizens of Procida. The island supports one of Italy’s most famous nautical academies, and most of the inhabitants operate small boats and sailing yachts utilising the central harbour.



Corricella harbour, Island of Procida

14. *The Spanish concept of Sociedades Laborales as EU active labour market policy – European Parliament (Aug. 2015–Aug. 2016)*

Spanish Sociedades Laborales (SLs) – mostly small and micro-enterprises – are a qualified form of conventional corporation, majority-owned by their permanent employees. Unemployed persons can capitalise their unemployment benefits as a lump sum either to start a new SL or to recapitalise an existing SL by joining it. This makes them similar to the start-up subsidies for the unemployed, an established instrument of active labour market policy in many countries. SLs provide an unemployed person joining or setting up an SL with entrepreneurial guidance as well as access to capital. These enterprises also are an important source of new jobs. Against this background this report investigates the potential of SLs as an instrument of active labour-market policy to reactivate the unemployed, and also the potential transferability of the scheme to other EU Member States. It amends and updates the EP study “Employee financial participation companies’ proceeds”.

15. *The “Berlin Water” CSOP / LURITEC*

In Berlin, although the Spree is swimmable on the outskirts, the river and its subsidiary streams within the city are periodically contaminated when heavy rainfall overwhelms



LURITEC installation, visualisation

the municipal sewage system and, in order to protect the streets, the overflow, including raw sewage, is diverted into the Spree. The measures implemented by the city of Berlin to date – underground concrete basins as buffers and an intelligent canal control system – remain insufficient to capture the 3 to 4 million cubic meters of untreated wastewater still discharged into the river each year. LURITEC, a new system of pre-manufactured, modular synthetic glass fibre tubes that are placed in the river instead of underground, can make a cost-efficient contribution to closing this gap complementary to traditional concrete tanks. Here citizens' financial participation can lower public costs of the 60 million Euro project while providing a grassroots democratic backbone. A Consumer Stock Ownership Plan (CSOP) allows for low-risk loan financing of a significant share of the project while at the same time requiring only a small financial contribution from the CSOP participants. Combining different revenue sources, the redemption period for repaying the 12.4 million Euro debt is 8.5 years.

16. The collective bargaining and representativeness of temporary workers in Europe (Jan. 2015–June 2016)



Workshop on youth unemployment

This EC-funded project contributed to the development and reinforcement of the European industrial relations systems through adaptive changes in the employment and work scenario. We involved the least protected groups of workers – in particular, those (often young workers) who are not represented by any form of collective bargaining or any system of social protection – in a strategy for smart, sustainable and inclusive growth in Europe. The project further disseminated knowledge about representation and collective bargaining of temporary workers, which very often remains unclear even to the workers themselves.

17. Legal rationale for a ban on externalisation under German and European property law (June 2014–June 2015)

The project – funded by the Friedrich Ebert Foundation – focused on the formal institution of property rights and inquired whether property ownership has an inherent limitation with regard to the externalisation of production costs onto society and the environment. In this context, the introduction of a ban on externalisation into property law derived from the social function of property acknowledged by constitutional law was investigated. As present (informal) rules fail to effectively prevent enterprises externalising

their production costs, the formal rules of property rights may need revision. The potential legal rationale and possible obstacles for introducing an obligation of sustainability into German property law and amending competition law to force enterprises to internalise externalised costs were looked upon.

18. Seed-money B/Orders in Motion Centre “New b/orders of Social Europe in the course of the Euro crisis – Property, the welfare state and distributive justice” (Apr. 2014–Mar. 2015)

This project – funded by the European University Viadrina – investigated the fault lines and tectonic shifts in the EU structure caused by the financial crises, directly affecting the future of Social Europe and its welfare states. It cut across three themes: people and the welfare state; inequalities, diversity and welfare states; and rethinking the economics of the welfare state. The interest was to examine the dynamics of b/orders of Social Europe in respect to the functions of property and to distributive justice through a systematic study of the social conversions caused by the Euro crisis in several Member States.

19. Implementation of the pilot project – Promotion of employee ownership and participation (Sept. 2013–Oct. 2014)

This study – commissioned by the EC’s DG MARKT – provided an overview of the development of employee financial participation (EFP), in particular employee share ownership, across the EU-28. Considering the policy development over the past 30 years, it highlighted the growth of EFP over last decade, based on the 2013 European Company Survey data, which also showed the potential positive impact of EFP on employment and productivity. The study analysed a range of policy options and made recommendations to the Commission in the form of a five-point plan. Most importantly, the authors proposed: the establishment of a Virtual Centre for EFP, including an effective tax rate calculator in the short term; the development of a code of conduct, in the mid-term; and an optional “Common European Regime on EFP” in the long term. Overcoming the barriers especially to cross-border plans is particularly important in view of the potential described in this study for EU companies to implement such schemes and benefit from their impact.



Brussels conference, EP pilot project for DG MARKT, Commissioner Michel Barnier

20. *Doctoral summer school “New b/orders of Social Europe in the course of the Euro crisis – Property, the welfare state and distributive justice” (May/June 2014)*



Doctoral summer school, Procida

The doctoral summer school gathered young and senior scholars in the fields of economics, law, sociology and political sciences to investigate the fault lines and tectonic shifts in the EU structure caused by the financial crises. The aim was to examine the dynamics of b/orders of Social Europe in respect to the functions of property and to distributive justice through a systematic study of the social conversions caused by the Euro Crisis. The event was held in the premises of the University of Naples L’Orientale on Procida, Italy, 30 May – 3 June 2014, and funded by Deutsch-Französische Hochschule (UFA-DFH).

21. *Employee participation in SMEs – A strategy for labour market policy and regional economic development (Oct. 2012–May 2014)*



Visit of a delegation from Gipuzkoa

The objective was implementation of best practices of employee financial participation (EFP) in SMEs, which connect labour market policy with regional business development. This transfer process was designed to be horizontal (among social partners) and vertical (between social partners and political decision makers). In this way, recommendations both for social partners and at the policy level were developed. Focal point was the design of a concept that enables employees to become co-owners as shareholders of their company. This concept refers to the legal

form of a German GmbH, a Spanish Limitada or a Polish Sp. z o.o. The project was supported by the Brandenburg Ministry of Labour, Social Affairs, Women and Family Affairs with funds from the European Social Fund and the federal state of Brandenburg.

22. *Component “EFP networks with Poland and Russia” in “Competition of ideas for the establishment and expansion of innovative research & development networks with the Baltic Sea states” (Chair of Prof. Dr Stadtmann) (May 2012–Dec. 2013)*



Within this project the Kelso Chair and its Polish partners integrated researchers in Russian higher educational institutions in their research on EFP and distribution of property. Together with Mrs Kelso, Messrs Lowitzsch and Hanisch travelled to Russia, where they met with potential co-operation partners and

presented the Russian translation of the book “Financial participation for a New Social Europe” at the Russian Academy of Economics and State Service in Moscow and at the Economic Faculty of St Petersburg State University. Also, they participated in the inter-disciplinary conference “Social capital in modern society” at the Economic Faculty of St Petersburg State University. Furthermore, the above-mentioned book was published as part of the project, which was funded by the German Federal Ministry of Education and Research.

23. Employee financial participation in companies' proceeds (Jan. 2012–Sept. 2013)

This study – commissioned by the European Parliament – provided an overview of the development of EFP across the EU-27 and showed its positive dynamic in 2000-2009, despite the recent financial crisis. A comparison of the rules and regulations on general taxation, social security contributions and specific tax incentives for EFP schemes across the EU indicated that fiscal incentives, while not a prerequisite to EFP, do promote the spread of these schemes. To provide transparency concerning fiscal treatment of EFP schemes, effective tax rates on different schemes were presented. Eight cases were selected and analysed to identify both the best practice and the obstacles to promoting best practice. The study concluded with a proposal for an alternative approach to a European framework: the “28th regime on employee financial participation”.



Presentation of the study in the EP

24. Employee financial participation in public services in the EU (Mar. 2011–Feb. 2012)

This was the first study of the extent of EFP schemes in enterprises providing Services of General Interest (SGIs) in the EU. The study provided a systematic overview of the forms of EFP practised in the EU. It utilised the information on some 8,000 SGI enterprises from the European Company Survey (2009) to analyse the existence (or lack) of EFP schemes in different branches of public services. This broad statistical picture was supplemented by case studies of fifteen public service enterprises in various EU Member States. The data showed that the extent of EFP varies widely in different branches of public services with a much higher level in utilities and a much lower level in public administration. The study was funded by CEEP and the EC.



25. *Development of a German and Polish employee financial participation model for SMEs, based on the ESOP (Apr. 2011–Mar. 2012)*



Book presentation in Brussels, Polish Prime Minister Waldemar

Aim of this project – funded by Deutsch-Polnische Wissenschaftsstiftung (DPWS) – was to develop proposals for the reform of the German law on employee share ownership and of the Polish aid programs (concerning Spółki Pracownicze) to overcome the deficits resulting from their practical implementation. The analysis was based on a number of best practice case studies in both countries and the longstanding success of Employee Stock Ownership Plans (ESOPs) in the United States of America with more than 10,000 ESOPs and more than 14.5 employees benefitting. As a result the German-Polish research team developed a German and a Polish ESOP model, in accordance with the national legislation.

26. *Employee share ownership with an intermediary entity (Oct. 2010–June 2012)*

The main objective of this study was the development of a German EFP model with an intermediary entity based on the ESOP. The team conducted empirical research on German enterprises, which already have implemented similar employee participation models. The presented results and the broad theoretic background of the study summarised the benefits and pitfalls of such schemes and were intended to foster the public discussions on the subject. The project was funded by the *Hans Böckler Foundation*.

27. *Information and communication project – Promoting EFP in the EU-27 (Dec. 2010 – Nov. 2011)*



Book presentation in Brussels, President of the EP Jerzy Buzek

This project, implemented by a consortium of 26 organisations and funded by the EC, aimed at providing support for the inclusion of employee financial participation (EFP) in the EU policy agenda. It created a large partnership to identify best practices to improve policy making and policy implementation while at the same time monitoring and reporting on the progresses of Member States in promoting EFP. The project consisted of nine national workshops organised by project partners in their country to disseminate results providing policy makers with concrete instruments to promote EFP and a three-day event entitled “A week of employee financial participation” in Brussels. 20 Viadrina students co-organised the conference and took part in the event, five of them as speakers. To document the conference, they set-up a blog, produced an image film and a conference trailer.

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Teaching

1. Consumer (co-)ownership in renewables and sustainable transitions

- SS 22 – Prof. Dr Jens Lowitzsch: Energy transitions 7: Fostering a just transition in Europe (Part II)
- WS 21/22 – Prof. Dr Jens Lowitzsch: Energy transitions 6: Fostering a just transition in Europe (Part I)
- SS 21 – Prof. Dr Jens Lowitzsch / Lucas Roth; Energy Transitions 5; Assessing the Impact of Digitization on Energy Communities (Summer School; subsection European Economics & Innovations Management)
- WS 20/21 – Prof. Dr Jens Lowitzsch: Energy transitions 4: Assessing the impact of digitisation on energy communities – Access, ability and interaction
- SS 20 – Prof. Dr Jens Lowitzsch / Felicia van Tulder: Energy transitions 3: Gender and energy – Opportunities and challenges for equal participation in the European energy transition
- SS 20 – Prof. Dr Jens Lowitzsch / Lucas Roth: Energy transitions 2: The prosumer at the heart of the European energy markets, focus Germany – An overview of market mechanisms and consumption behaviour
- SS 20 – Prof. Dr Jens Lowitzsch: Energy transitions 1: Renewable energy communities and the professional energy world – Friends or foes?
- WS 19/20 – Prof. Dr Jens Lowitzsch / Florian Hanke: Consumer (co-)ownership in renewables, energy efficiency and the fight against energy poverty – A dilemma of energy transitions
- SS 19 – Prof. Dr Jens Lowitzsch, Facilitacion de lo prosumismo y de la co-propiedad de los consumidores en energías renovables en Colombia (Facilitating prosumerism and consumer co-ownership of renewable energy in Colombia) (Seminar at the National University of Bogotá, Colombia)
- SS 19 – Prof. Dr Jens Lowitzsch / Claire Gauthier: Financing the energy transition and property rights IV – New market actors and roles
- WS 17/18 – Prof. Dr Jens Lowitzsch / Tom Reutemann: Financing the energy transition and property rights III – Consumers as active market players at the heart of the energy system?

- WS 17/18 – Prof. Dr Jens Lowitzsch: Facilitazione del prosumerismo e della co-proprietà dei consumatori nelle energie rinnovabili – Piani di azionariato dei consumatori CSOP (Facilitating prosumerism and consumer co-ownership in renewable energy – CSOP) (Seminar at Seconda Università di Napoli, Italy)
- WS 16/17 – Prof. Dr Jens Lowitzsch: Droit de la propriété et financement de technologies des énergies renouvelables (Property law and financing of RE technologies) (Seminar at Université Paris 1 Panthéon-Sorbonne)
- WS 16/17 – Prof. Dr Jens Lowitzsch: Financing the energy transition and property rights – Supporting consumer (co-)ownership in renewables
- SS 16 – Prof. Dr Jens Lowitzsch: Financing the energy transition and property rights – The “prosumer” at the heart of the energy markets?
- WS 15/16 – Prof. Dr Jens Lowitzsch: Financing the energy transition and property rights – The law and economics of decentralised energy production
- SS 15 – Prof. Dr Jens Lowitzsch / Carsten Croonenbroeck / Daniel Ambach: Seminar zur Energiewende (Seminar on the energy transition)
- SS 15 – Prof. Dr Jens Lowitzsch: Swimming in the Spree? – Employing a Consumer Stock Ownership Plan (CSOP) to purify Berlin City surface water bodies
- WS 14/15 – Prof. Dr Jens Lowitzsch: Transforming energy consumers into energy producers – The German Renewable Energy CSOP
- WS 13/14 – Prof. Dr Jens Lowitzsch / Katarzyna Goebel: Implementation of a Consumer Stock Ownership Plan (CSOP)

2. Employee financial participation

- WS 19/20 – Prof. Dr Jens Lowitzsch: In the company of owners – Employee share ownership in SMEs and start-ups
- SS 19 – Prof. Dr Jens Lowitzsch: Employee ownership in the EU – Focus: Sociedades Laborales
- SS 19 – Prof. Dr Jens Lowitzsch: Las sociedades laborales en España – Reincorporación de los trabajadores desempleados al mercado laboral (Sociedades Laborales in Spain – Reintegration of unemployed into the labour market (Seminar at the National University of Bogotá, Colombia)
- WS 18/19 – Prof. Dr Jens Lowitzsch / Gyula Kocsis: Employee motivation and participation in the age of digital economy – Focus: motivation paradox

- WS 17/18 – Prof. Dr Jens Lowitzsch: La partecipazione finanziaria die lavoratori per una Nuova Europa Sociale (Seminar at Seconda Università di Napoli, Italy)
- WS 16/17 – Prof. Dr Jens Lowitzsch: Un régime commun européen sur la participation financière des salaires (A common European regime on employee financial participation) (Seminar at Université Paris 1 Panthéon-Sorbonne)
- SS 16 – Elhadj Abdoulaye Séne / Prof. Dr Jens Lowitzsch: Activating jobseekers through entrepreneurship: Potential of the concept of Sociudades Laborales as an EU active labour market policy
- WS 15/16 – Elhadj Abdoulaye Séne / Prof. Dr Jens Lowitzsch: Activating jobseekers through entrepreneurship: ALMP start-up incentives – The Spanish Sociudades Laborales, best practise?
- SS 14 – Prof. Dr Jens Lowitzsch / Gyula Kocsis: Employee share ownership, social capital and the motivation paradox
- SS 12 – Prof. Dr Jens Lowitzsch: EU economic and enterprise policy – Social Europe and employee participation / Part IV
- WS 11/12 – Prof. Dr Jens Lowitzsch: Uprooting world poverty – A job for business? Social Europe and employee participation / Part III
- SS 11 – Prof. Dr Jens Lowitzsch: European legal and social policy after the Lisbon reform treaty – Focus EFP (Social Europe & employee participation / Part II)
- WS 10/11 – Prof. Dr Jens Lowitzsch: Social Europe & employee participation – European legal and social policy after the Lisbon reform treaty – Focus EFP

3. Property rights, the welfare state, and sustainability

- WS 18/19 – Prof. Dr Jens Lowitzsch: Property rights, globalisation, social function of property – Part II: Introducing an obligation of sustainability into property law
- WS 17/18 – Prof. Dr Jens Lowitzsch: Property rights, globalisation and the social function of property – Towards a re-conceptualisation of property rights
- SS 17 – Prof. Dr Jens Lowitzsch: Automation, digital revolution and capital concentration: A race for the machine?
- WS 16/17 – Prof. Dr Jens Lowitzsch: Base juridique d'une défense d'externalisation régie par le droit de la propriété (Legal basis for a protection from externalisation governed by property law (Seminar at Université Paris 1 Panthéon-Sorbonne)

- SS 15 – Prof. Dr Jens Lowitzsch: Doctoral summer school “Property rights, inequality and employee / consumer financial participation” (Hvar, Croatia)
- SS 15 – Elhadj Abdoulaye Séne / Prof. Dr Jens Lowitzsch: Financing productive property for the many: Private investment credit – Actors, mechanisms, instruments
- SS 14 – Prof. Dr Jens Lowitzsch / Dr habil. Arnaud Lechevalier: Doctoral summer school “New borders of Social Europe in the course of the Euro crisis – Property, the welfare state and distributive justice”
- WS 13/14 – Prof. Dr Jens Lowitzsch / Denis Suarsana: The property-owning democracy – A non-socialist concept for an egalitarian distribution of wealth
- SS 13 – Prof. Dr Jens Lowitzsch: Property, the welfare state and distributive justice – Part II
- WS 12/13 – Prof. Dr Jens Lowitzsch: Property, the welfare state and distributive justice
- SS 12 – Prof. Dr Jens Lowitzsch: Insolvency, restructuring and sovereign default / Part IV
- WS 11/12 – Prof. Dr Jens Lowitzsch: Insolvency and restructuring in transition countries and in the financial crisis / Part III – Legal and macro-economic perspectives of system transformation in CEE and financial crisis
- SS 11 – Prof. Dr Jens Lowitzsch: Privatisierung, Insolvenz und Restrukturierung in Transformationsstaaten / Teil II – Makroökon. / juristische Perspektiven von Systemtransformation in MOE & Finanzkrise (Part II – Focus: financial crisis)
- WS 10/11 – Prof. Dr Jens Lowitzsch: Privatisierung, Insolvenz und Restrukturierung in Transformationsstaaten – Makroökonomische und juristische Perspektiven der Systemtransformation in MOE (Part I – Focus: CEE)

4. Legal policy and others

- WS 16/17 – Gyula Kocsis: Effectuation and entrepreneurship – New perspectives in management theory
- SS 15 – Stefan Hanisch / Elena Naumova: Legal and institutional preconditions for sustainable development in Russia (Joint German-Russian seminar at Pskov State University, Russia)

- WS 14/15 – Stefan Hanisch / Prof. Dr Jens Lowitzsch: Law, institutions and political economy of transition in Russia and other post-Soviet states
- SS 14 – Prof. Dr Jens Lowitzsch: The 29th regime – An alternative approach to EU integration allowing less law making
- SS 13 – Prof. Dr Jens Lowitzsch: The Capitalist Manifesto – Labour vs. capital?
- WS 12/13 – Stefan Hanisch: Promoting rule of law in Central Asia: The potential of the EU's Central Asia Strategy to combine the development co-operation efforts of different actors;
- WS 12/13 – Prof. Dr Jens Lowitzsch: EU integration and legal harmonisation: The 28th regime as an innovative policy tool – Law making at the EU level
- WS 10/11, SS 11, WS 11/12 – Stefan Hanisch / Prof. Dr Jens Lowitzsch: Proposal writing for third-party funded projects

P r e s e n t a t i o n s

P r o f . D r L o w i t z s c h

2022

- Beyster Symposium, 26-28 June La Jolla California “Louis Kelso’s Heritage: Implementing Renewable Energy Consumer Stock Ownership Plans across the EU – The H2020 Project SCORE”
- Köln, 18 May Polis Mobility in der Köln-Messe “CSOPs als eine Möglichkeit der quartiersoptimierten Umsetzung desEnergiekonzepts für Köln-Kreuzfeld“
- Rutgers Mid-Year Fellows Workshop in Honor of Louis O. Kelso 15/16 January „Part I: Revamping Employee Share Ownership in Germany? The SME Sector once more Left Behind; Part II: The Consumer Stock Ownership Plan – Prototype Business Model for European Renewable Energy Communities

2021

- Krk, Croatia 17 Dec. “How the SCORE project helped local communities to speed up their energy transition - Renewable Energy Communities under the 2018/19 Clean Energy Package - Introduction to RE-CSOPs”
- Essen, Berufskolleg Ost, 14 Dec. “Energiewende am BKO in Essen: CSOPs: Inklusion und Bürgerenergie - Die Erneuerbaren-Energie-Genossenschaft;

- Politecnico di Torino, Italy, SCORE Final Conf., 17/18 Nov. “Supporting Consumer Ownership in RE: Challenges to be met / SCORE Implementation: Renewable Energy Communities under the 2018/19 Clean Energy Package”
- Prague, BOLD FUTURE ‘Energy Communities’, 7 Oct., key note: “Renewable Energy Communities under the 2018/19 Clean Energy Package”
- Politecnico di Torino, SCORE Seminario Nazionale, 6 Oct. “Introduzione ai Piani di azionariato dei consumatori – La sfida: includere co-investitori eterogenei sotto il tetto di una Comunità Energetiche Rinnovabili”
- Berlin, COME RES, 30 Sept. “Die Erneuerbare Energie Gemeinschaft ‘Berufskolleg Ost & Franz Sales Haus’ Ein Pilotprojekt zum Energy Sharing”
- Vega de Valcarce, Spain, 28 Sept. “Planes de Accionariado de los Consumidores en los Energías Renovables – ‘CSOPs’ un concepto cooperativo modernizado que permite la copropiedad de los consumidores”
- Milan, Italy, Commercial Chamber, 16 Sept., “La sfida: includere co-investitori eterogenei sotto il tetto di una Comunità Energetiche Rinnovabili – Introduzione ai Piani di azionariato dei consumatori”
- Berlin, 30. June, ‘Lange Nacht der Bürgerenergie’ “Pionier*innen des Energy Sharings - Bürgerbeteiligung & Inklusion in der Erneuerbaren Energie Gemeinschaft Berufskolleg Ost & Franz Sales Haus”;
- Kraków, 21 June, FrankBold, “Finansowanie OZE poprzez Plan Akcjonariat Konsumentcki – Społeczności energetyczne, uwarunkowania w prawie polskim”
- SGH, Warsaw School of Economics & Viadrina Consulting Group e.V., May 17, panel discussion “How to implement the energy transition”
- Milano, COME RES Italian Desk, May 6, “La sfida: includere co-investitori eterogenei sotto il tetto di una comunità energetiche rinnovabili – Introduzione ai CSOPs” (Including heterogeneous co-investors in RECs through CSOPs)
- Brussels, EUFORES workshop for policy makers, Mar. 26, “Traps to avoid when transposing RED II and IEMD”
- Berlin Energy Days, Apr. 21, “Aus Konsumenten Prosumenten machen: der Verbraucher-Kapital-Beteiligungsplan CSOP” (Turning consumers into prosumers through Consumer Stock Ownership Plans)
- Sacavém, Portugal, Apr. 7, EDP NEW R&D Session on Energy Communities “Consumer Stock Ownership Plans – prototype for Renewable Energy Communities under the 2018/19 Clean Energy Package”

- Brussels, EUFORES workshop for civil society organisations, Mar. 24, “Country cases: What challenges do national governments face when transposing RED II and what are potential solutions?”
- Czech SCORE Seminar, Mar. 11, “The Horizon 2020 project SCORE – Understanding CSOPs”
- SCORE international webinar, Pilot projects meet follower cities, Feb. 26, “The Horizon 2020 project SCORE – Understanding CSOPs”

2020

- Brussels, EUFORES Academy, Transposition guidance on RED II & IEMD for policy makers, Nov. 17, “Traps to avoid when transposing RED II and IEMD”
- Frankfurt (O), MAPS – Viadrina Workshop, 16 Nov. 2020 “Placement of volunteer legal guardians for unaccompanied minor refugees in Berlin”
- Brussels, EUFORES RNP Academy meeting, Energy citizens and communities, Oct. 16, “Transposition problems for energy communities”
- Karlsruhe, Fraunhofer Institut für System- und Innovationsforschung ISI, July 16, “CSOPs – prototype for Renewable Energy Communities under the 2018/2019 Clean Energy Package”
- SCORE webinar on inclusive financing of a fair energy transition for municipalities, June 17, “Understanding the financing method behind SCORE – the Consumer Stock Ownership Plan (CSOP)”
- Paris, Eco-SESA seminar “Communautés énergétiques, autoproduction, autoconsommation : cadrages, pratiques et outils”, 15 June, “Le plan d’actionnariat des consommateurs (PAC) – Prototype du modèle économique de la communauté d’énergie renouvelable”
- Stadtwerke Essen, SCORE workshop, “Das Saubere-Energie-Paket 2018/19 – Herausforderung für Kommunen”
- Essen, Franz Sales Haus, Apr. 2, “Bürgerenergie und Inklusion – Mehr Teilhabe an der Energiewende – Das Horizont-2020-Projekt SCORE” (Citizen energy and inclusion – More participation in the energy transition)
- Prague, Ministry for regional development, Mar. 31, Czech SCORE seminar “Consumer (co-)ownership in renewables in Renewable Energy Communities under the new Renewable Energy Directive (RED II)”

- Brussels, DG Energy, EC BRIDGE, Jan. 20, “Opening Renewable Energy Communities to heterogeneous co-investors in renewable energy clusters”

2019



Meeting with the Colombian vice-minister for environmental protection, Roberto Esmeral

- Świdnicy municipality, Progresywne Miasta (Progressive cities), Nov. 13, “Polityka energetyczna UE w latach 2020-2030: wymiar obywatelski, miejski Społeczności Energetyczne OZE w Nowych Ramach Prawnych UE” (EU energy policy 2020-2030: The citizen’s dimension, urban dimension, RECs in the new EU legal framework)
- Bogotá, Columbian Ministry of environment, “Presentation of the SCORE project and CSOP – Nueva Venecia in the Cienaga Grande as pilot project”
 - Städtekrantz (Urban circle) Berlin-Brandenburg, Zukunftsstädte in der zweiten Reihe (Future cities in the second row), Aug. 8, “Das Saubere-Energie-Paket 2018/19 – Herausforderung für Kommunen” (The 2018/19 Clean Energy Package – Challenges for municipalities)
 - Groningen, Conference on New Pathways for Energy Communities and Storage, June 6-7, keynote “Investing in a renewable future – Renewable Energy Communities, consumer (co-)ownership and energy sharing in the EU 2018/19 Clean Energy Package”
 - Prague, CESB conference, July 3, “Financing renewables while implementing energy efficiency measures via CSOPs”
 - Stadtwerke Essen, SCORE workshop, “Der Consumer Stock Ownership Plan als Chance für Essen” (The CSOP as an opportunity for Essen)
 - Paris, 7th International Symposium on Environment and Energy Finance Issues (ISEFI), May 23-24, “Harnessing consumer (co-)ownership in RE in the Asian energy transition – CSOPs as an inclusive low-threshold participation model for Pakistan and India”
 - Nantes, Energy Cities/German Marshall Fund workshop, Apr. 2, “The H2020 project SCORE – CSOPs as an inclusive low-threshold participation model”

- Heidelberg, Energy Cities General Assembly, May 23, “Renewable Energy Communities under the EU 2018/2019 Clean Energy Package”
- Heidelberg, May 22, International Conference on Climate Action, Climate Neighbourhoods (ICCA), “Cooperatives and Consumer Stock Ownership Plans”
- Dąbrowa Górnicza, Spotkanie Miast Progresywnych (Meeting of Progressive Cities), Mar. 30, “Finansowanie OZE poprzez plan akcjonariat konsumencki” (Financing RES through CSOPs)
- Katowice, Spotkanie Miast Progresywnych (Meeting of Progressive Cities), Mar. 30, “Metropolitalna polityka energetyczna – Społeczności energetyczne w nowych ramach prawnych UE Górnośląsko-Zagłębiowska Metropolia GZM” (Metropolitan energy policy – RECs under the new legal framework of the EU: Upper Silesian and Zagłębie Metropolis)
- San Francisco, The Menke Group, “Financing consumer (co-)ownership in renewable energy through Consumer Stock Ownership Plans (CSOPs)”



Presenting the Climate Neighbourhood workshop results at the ICCA plenary

2018

- Barcelona, Climate Alliance annual conference, Oct. 1, “Consumer Stock Ownership Plan (CSOP) financing as an inclusive low-threshold participation model - the H2020 project SCORE”
- Berlin, Siemens Turbinenbau, Aug. 30, “Verbraucherkapitalbeteiligung durch CSOP-Finanzierung – Das Horizont-2020-Projekt SCORE” (Consumer co-ownership through CSOP financing – the H2020 project SCORE)
- Brisbane, International Political Science Association, July 22, “CSOP financing as a low-threshold participation model in renewables”
- Perth, Curtin University Sustainability Policy (CUSP) Institute, “Introducing an obligation of sustainability into property law? Towards a re-conceptualisation of property rights to include the commons”
- Berlin, WZB, Conference “Breaking the rules”, June 15, “Financing consumer ownership in renewables through Consumer Stock Ownership Plans (CSOPs) – the H2020 project SCORE”

- Brussels, EASME Contractors' Meeting, June 8, "Supporting consumer co-ownership in renewable energy – SCORE"
- Frankfurt (O.), European University Viadrina, Promoting German-Polish Co-operation in EU Research, May 24, "Funding climate & energy research: Supporting consumer co-ownership in renewable energy (SCORE)"

2017

- Naples, University Federico II, Dec. 12, La ecologia del diritto (book launch Mattei/Capra), "Introducere un obbligo di sostenibilità nel diritto di proprietà?" (Introducing a sustainability obligation in property law?)
- Manaus, Brazil, CIRIEC, Nov. 30, book presentation "La participación financiera para una Nueva Europe Social" (Financial participation for a New Social Europe)
- Manaus, Brazil, CIRIEC, Nov. 30 "Sociedades Laborales – Reactivate the unemployed: A new active labour market policy for the EU?"
- Santiago de Chile, Institute of Advanced Studies (IDEA) of Universidad de Santiago, Nov. 27, "Facilitación de lo prosumismo y de la co-propiedad de los consumidores en energías renovables" (Facilitating prosumerism and consumer co-ownership in renewable energies)
- Procida, Italy, Symposium on Functional Changes of Property Rights, "Towards a re-conceptualisation of property rights – Introducing an obligation of sustainability into property law"
- Warsaw, Presidential Palace, National Development Council, Agriculture meeting, "Rola rolników w rozwoju OZE w Niemczech – prosument w energetyce" (The role of farmers in developing RES in Germany – the energy prosumer)
- Poznań, Adam Mickiewicz University, "Digitalizacja, automatyzacja, zatrudnienie i dystrybucja – 'Słoń w pomieszczeniu'" (Digitisation, automation, employment and distribution – 'The elephant in the room')
- Paris, Sorbonne, "Introduction d'une obligation de durabilité dans le droit de la propriété? Vers une ré-conceptualisation de la droit du propriété en incluant les biens communs" (Introducing a sustainability obligation into property law? Towards a re-conceptualisation of property law by including the commons)

- Paris, Université Paris 13, Maison des Sciences de l’Homme de Paris Nord, EPOG seminar #97, “Property rights and the financing of renewable energy technologies”

2016

- Warsaw, Chrześcijański Forum Przedsiębiorczości (Christian Entrepreneurship Forum), Discussion panel “Different forms of capital management”, “Citizen co-ownership & sustainable development in the age of digitalisation”
- Krynica Zdrój, XXVIth Economic Forum, discussion panel “1050 years and what next? Christian and Post-Christian Poland”, “Leisure, the basis of culture – Can robots help?”
- Brussels, European Parliament, Public Hearing of the EMPL Committee “EFP in the age of digitalisation”, “Automation and capital concentration: The elephant in the room – A race for the machine?”
- Bristol, 2nd WINIR Symposium on Property rights, “Legal rationale for a ban on externalisation under German and European property law”
- Rome, Fondazione Tarantelli, CISL, “The collective bargaining and representativeness of temporary workers in Europe – Good practices in Germany”
- Gdansk, Deutsch-Polnische Wissenschaftsstiftung (DPWS), “Koncepcja partycypacji pracowniczej w małych i średnich przedsiębiorstwach w oparciu o model ESOP w Polsce i w Niemczech” (The concept of employee participation in SMEs based on the ESOP model in Poland and Germany)
- Brussels, Inter-Cabinet Meeting, DG EMPL, “Promoting Employee Ownership as a ‘Bridge Technology’ for the Social Economy”
- Vilnius, Public Enterprise Project Management and Training Center, “Raising employees’ involvement in the companies’ financial management and economic performance”
- Warsaw, Centrum Myśli Jana Pawła II, “Dziedzictwo L.O. Kelso w XXI wieku: Jak z prekariatu uczynić klasę średnią – finanse w służbie integralnego rozwoju człowieka” (The legacy of L.O. Kelso in the 21st century: How to turn



Panel discussion with Wójcich Polak, Primate of the catholic church and film director Krzysztof Zanussi in Krynica

the precariat into the middle class – finance in the service of integral human development)

- Torino, Politecnico di Torino, Sustainable Built Environment 2016, “Financing the purification of the Spree through a Consumer Stock Ownership Plan”

2015



Discussion with the president of the Polish National Bank, Marek Belka

- Warsaw, Polish National Bank, Debate “Moral Capitalism?”, “Dziedzictwo L.O. Kelso w XXI wieku: Prosumenci i finansowanie odnawialnych źródeł energii poprzez Consumer Stock Ownership Plans” (The legacy of L.O. Kelso in the 21st century: The prosumer and financing RES through CSOPs)
- Bucharest, ALDE event organised by MEP Weber, “The EC pilot project to promote EFP – Results and future steps – Best practise examples from Spain, Ireland and Poland”
- Brussels, Presentation to DG GROWTH, “The EC pilot project to promote employee share ownership – Results and future steps: The ‘Virtual Centre for EFP’ & the ‘CETREPS’ Effective Tax Rate Calculator”
- Sulechów, Conference “Energy Economy in border-zone areas in Poland & Germany”, “Modele finansowania energii odnawialnych przy udziale konsumentów – prosumenci w energetyce” (Financing models for RE with consumer participation – the energy prosumer)
- Brussels, Presentation at the European Parliament to the ALDE Group, “Spanish Sociedades Laborales – New evidence from the Basque Country – Update on research results and potential future steps”
- Rio de Janeiro, 2nd World Interdisciplinary Network for Institutional Research, “Property rights and the financing of renewable energy technologies – How CSOPs contribute to the Energy Transition”
- Brasilia, University of Brasilia, Department of Economics, “Financing decentralised energy production and water purification through CSOPs”



University of Brasilia, guest lecture

- Split University, “The German RE CSOP – Financing decentralised energy production through capital participation of consumers using CSOPs”
- San Francisco, The Menke Group, “The ‘Berlin Water CSOP’ – Financing the purification of the Spree through a Consumer Stock Ownership Plan”
- Frankfurt (O.), Frankfurter Wirtschafts- und Wissenschaftstag (Frankfurt Business and Science Day): “Finanzierung dezentraler erneuerbarer Energieproduktion unter Kapitalbeteiligung von Verbrauchern mittels Consumer Stock Ownership Plans (CSOPs)” (Financing of decentralised renewable energy production with consumer capital participation through CSOPs)

2014

- Bilbao, Conference “Jointly developing participation in Gipuzkoa: people, companies and territory”, Employee financial participation in the EU: (1) “EFP from an employee perspective – The relationship between financial participation and participation in decision making” and (2) “New evidence based on the European Company Survey (ECS) – Commission initiatives to promote EFP”



Bilbao, Gipuzkoa, promoting EFP

- Brussels, Presentation of Pilot Project on EFP at EP Workshop in EMPL, “Virtual Centre for EFP & CETREPS Effective Tax Rate Calculator & Proposal for a ‘Common European Regime on EFP’”
- Göttingen, Conference “Economic Growth & Sustainability”, “Consumer Stock Ownership Plans – Finanzierung dezentraler erneuerbarer Energieproduktion unter Kapitalbeteiligung von Verbrauchern” (CSOPs – Financing decentralised RE production with consumer capital participation)
- Montevideo, 17th IAFEP Conference, “Employee financial participation in the EU – New evidence based on the European Company Survey (ECS): Commission initiatives to promote EFP”
- Sulechów, Conference “Energy industry in the border region of Poland and Germany”, “Finansowanie zdecentralizowanej produkcji energii poprzez partycypację finansową konsumentów przy użyciu metody Consumer Stock Ownership Plans” (Financing decentralised energy production through consumer financial participation using the CSOP method)

- Brussels, presentation to DG TAXUD, “The ‘CETREPS’ Effective Tax Rate Calculator as part of the ‘Virtual Centre for EFP’”
- Dublin, Eurofound, “The DG MARKT pilot project on ESO: a ‘Common European Regime on EFP’ and the ‘Virtual Centre for EFP’”
- Berlin, BdA / Frankfurt a. M., DAI, “EU-Politikinitiative: Das Gemeinsame EU Regime für Mitarbeiterbeteiligung” (EU initiative: A Common European Regime on EFP)



Brussels, DG MARKT Conference

- Strasbourg, Conference “Social entrepreneurs: Have your say!”, “On the legal definition of Social Enterprise – Financing renewable energy plants through CSOPs”
- Brussels, DG MARKT Conference “Taking action: Promoting employee share ownership in the EU”, “Employee share ownership in the EU: Evidence and potential – The ‘29th regime on EFP’” and “ESO and long-term investment – Call on the EIB and the ELTIF regulation”

2013

- Paris, Conference “Tensions of Europe”, “The framing and wider implications of the relationship between factors of production against the background of technological change”
- San Francisco, The Menke Group, “Shifts between ownership forms as a consequence of the sovereign debt crisis?”
- Brussels, presentation to Eurochambers, “The ‘Virtual EFP Centre’ & the Effective Tax Rate Calculator (CETREPS)”
- Paris, European Ownership Caucus Meeting, “Promoting employee share ownership in the EU – Evidence & potential: The ‘29th regime on EFP’ and the Effective Tax Rate Calculator (CETREPS)”
- Split University, “Finanzierung dezentraler erneuerbarer Energieproduktion unter Kapitalbeteiligung von Verbrauchern mittels “Consumer Stock Ownership Plans” (Financing decentralised energy production through CSOPs)
- Słubice, Collegium Polonicum, “Employee financial participation in the aftermath of the financial crisis – Policy considerations”

- Hamburg, Helmut-Schmidt-Universität, “Dimensions and Perspectives on EFP”, “The EP study ‘Employee financial participation in companies’ proceeds”

2012

- Brussels, presentation to the EC, “Promoting EFP while removing barriers to the single market – The ‘28th regime on EFP’ & the Effective Tax Rate Calculator CETREPS”
- Berlin, Centre Marc Bloch, FIT Workshop, “Shifts between ownership forms as a consequence of the sovereign debt crisis? Policy considerations and analytical approach”
- Poitiers, EFP Workers Group Seminar, “Promoting employee financial participation in the EU: Introducing the ‘28th regime on EFP’ & the Effective Tax Rate Calculator (CETREPS)”
- Bergamo, Università degli Studi di Bergamo / ADAPT, Seminar “La partecipazione finanziaria per una Nuova Europa Sociale” (Financial participation for a New Social Europe)
- St Petersburg State University, Conference “Social capital in modern society”, book presentation “Finansovoe uchastie rabochikh na predpriyatiyakh v Yevropeyskom Soyuze” (Employee financial participation in the EU)
- Russian Presidential Academy of Economics & State Service “Public policies for employee ownership in Europe: Labour vs capital – Policy considerations” and book presentation as above on 25/26 Oct.
- Paris, ESOP Centre Conference, “EU institutions’ latest initiatives to promote employee share ownership”
- Brussels, Presentation to the European Parliament, “The EP study ‘Employee financial participation in companies’ proceeds”



Book presentation, Moscow

2011

- Davos, ESOP Centre Conference, “The EU steps up the pace on employee equity plans”

- Paris, Palais du Luxembourg, FONDACT, « Relancer la participation financière des salariés au niveau Européen » (Relaunching EFP at European level)
- Linz, Voestalpine, “Aktuelle Initiativen zur Förderung von Mitarbeiterbeteiligung auf EU-Ebene” (Current initiatives to promote EFP at EU level)
- Warsaw, Aula of VISTULA University, “Podejście modułowe do tworzenia europejskiej koncepcji partycypacji finansowej” (Building-block approach to European financial participation) and book presentation “Partycypacja finansowa w społecznej gospodarce rynkowej UE” (Financial participation in the EU social market economy)



Brussels, EESC, “Week of EFP”

- Brussels, Week of EFP at the EESC, “EFP in financial crises – The EU ESOP as a means to channel state subsidies to distressed firms & as a vehicle for business succession in SMEs”
- Frankfurt a. M., DAI, Seminar “Der deutsche ESOP – Innovative Instrument der Mitarbeiterbeteiligung” (The German ESOP – Innovative instrument for EFP)
- San Francisco, Menke Group, “Promoting employee financial participation in the EU-27”
- London, Travers Smith / ESOP Centre workshop, “What policy proposals might emerge from the EFP project?”

2010

- Paris, Slovenian Employers Association ZDS Workshop, “Short and long-term effects of EFP in the financial crisis”
- Brussels, EFES Conference, “Ten years of public policies for employee ownership in Europe”
- Centro Studi Cisl, Firenze “Verso un Piano Europeo della partecipazione finanziaria: L’approccio modulare” (Towards a European Plan for EFP: The building-block approach)

Research Associates

2021

- Lucas Roth: 3rd International Conference on Energy Research & Social Science –Manchester, 20-23 June 2022 „Fair Value Sharing for Electricity Sharing in Energy Communities“.
- Stefan Hanisch, Malta MAPS Conference, 28 July 2022, The Ukraine war: Legal and practical approaches to the influx of displaced persons from Ukraine and of refugees from Russia and Belarus to Germany.

2020

- Claire Gauthier: GESISI Conference “Content meets structure”, “Making complex and polarised policy making transparent – A network analysis of meetings between interest groups and the EC in the energy and climate policy (2014-2019)”
- Stefan Hanisch: Online conference “Culture, education and academic freedom within the context of constitutional fundamental rights”, Centre for Public Law Research, Tashkent, Uzbekistan, “Studencheskiy i nauchny vspomogatel’ny personal, nauchnye sotrudniki v vysshikh uchebnykh zavedeniyakh Germanii” (Student and research assistants, and research associates at higher educational institutions in Germany)
- Florian Hanke: Recht auf Stadt Forum (Right to the City Forum) 2020, “Bürgerenergie und Inklusion – Mehr Teilhabe an der Energiewende (Citizen energy and inclusion – More participation in the energy transition)
- Florian Hanke: POWERTY Online Seminar 2020, “Citizen energy and inclusion – The fight against energy poverty”
- Lucas Roth: Fraunhofer Institut für System- und Innovationsforschung (ISI), “Implications of RE co-ownership for clusters, energy efficiency & inclusion”

2019

- Claire Gauthier: Berlin, PROSEU webinar and Renewable Energy Platform internal meeting, organised by the European Renewable Energies Federation (EREF), Presentation of the policy analysis “Energy communities in the 28 draft national energy and climate plans”

- Stefan Hanisch: Conference “Administrative court proceedings in the Republic of Uzbekistan”, organised by the Supreme Court of the Republic of Uzbekistan and UNDP, “Obzor putey razvitiya i mezhdunarodo-pravovykh trebovaniy k administrativnoy yustitsii v gosudarstvakh-chlenakh Yevropeyskogo Soyuzha” (Review of development paths and international legal requirements for administrative justice in the EU Member States)
- Florian Hanke: Groningen, Conference “New pathways for community energy and storage”, “Facilitating the participation of vulnerable consumers in RECs – Towards an inclusive design of CEP”
- Florian Hanke: 3rd Regional Energy Conference Oderland-Spree, “Bürgerenergie & Inklusion – Mehr Teilhabe an der Energiewende, Das H2020-Projekt SCORE” (Citizen energy & inclusion – More participation in the energy transition)
- Florian Hanke: Brussels, Right to Energy Forum, June 19-20, “Citizen energy co-ownership in renewables and inclusion – The H2020 project SCORE”
- Lucas Roth: Starachowice, Progresywne Miasta (Progressive cities), “Local energy policy in practice: Renewable Energy Communities under the new legal EU framework”
- Felicia van Tulder: Brussels, Right to Energy Forum, “An inclusive design of the energy transition”
- Felicia van Tulder: Rostock, Germany, Climate Alliance International Conference, Sept. 25, “Involving underrepresented groups in the energy transition”

2018

- Claire Gauthier: Brussels, EU Sustainable Energy Week 2018, Presentation of the SCORE project
- Stefan Hanisch: Moscow, 2nd International Conference of the National Union of People’s and Collective Enterprises of the Russian Federation, “Aksionernaya (dolevaya) sobstvennost’ rabotnikov v Yevropeyskom Soyuze: razvitiye, pravovoe polozhenie, perspektivy” (Employee share ownership in the EU: development, legal conditions, prospects)
- Stefan Hanisch: Innovation Centre Skolkovo, Forum “Business with purpose”, Participation in a panel discussion on employee share ownership and other

forms of property ownership facilitating participative corporate culture (in Russian)

- Gyula Kocsis: Tallinn, “EFP in the Baltic States: Project learnings and recommendations”
- Gyula Kocsis: Vilnius, Seimas of the Republic of Lithuania, “EFP in companies”
- Felicia van Tulder: Berlin, Caritas Convention, “Citizen energy and inclusion”

2017

- Stefan Hanisch: Moscow, Russian Academy of Sciences, guest lecture “Aktionernaya (dolevaya) sobstvennost’ rabotnikov v Yevropeyskom Soyuze: razvitiye, pravovoe polozheniye, perspektivy” (Employee share ownership in the EU: development, legal conditions, prospects)
- Gyula Kocsis: Riga, “EFP in companies: What it is and what it is not”
- Gyula Kocsis: Vilnius, Romeris University, “EFP in companies’ proceeds in Germany and the EU”

2016

- Gyula Kocsis: Vilnius, Seimas of the Republic of Lithuania, “EFP in Germany”
- Gyula Kocsis: Rome, Final conference of the project “The collective bargaining and representativeness of temporary workers in Europe”, “Good practices in Germany”

2015

- Katarzyna Goebel: 11th International Conference “Challenges of Europe: growth, competitiveness and inequality”, Hvar, Croatia, “Accelerating the energy transition in the EU by the means of financial participation of citizens in renewable energy projects – Chosen political and economic preconditions”
- Stefan Hanisch: Dushanbe, Tajikistan, Conference of the Judicial Council in co-operation with the EU-Central Asia Rule of Law Platform, “The impact of national independence on the development of the judiciary in Tajikistan”
- Stefan Hanisch: Pskov State University, Russia, guest lectures “Unifikatsiya mezhdunarodnogo chatsnogo prava i mezhdunarodnogo grazhdansko-

protssesual'nogo prava v Evropeyskom Soyuze” (The unification of private international law and international civil procedural law in the EU) and “Korporativnoe pravo i pooshchrenie razvitiya biznesa v germanii” (Company law and promotion of business development in Germany)”

- Stefan Hanisch: Dushanbe, Tajikistan, EU-Central Asia Rule of Law Platform project, Presentation at the training seminar on administrative procedure at the Institute of State Service under the President of the Republic of Tajikistan (in Russian)
- Gyula Kocsis: Frankfurt (O.), Viadrina School of Management, Panel discussion at the Workshop “Arbeitsplatz Call Center – Gegenwart und Zukunft: Transnationaler Erfahrungsaustausch” (Call center jobs – Present and future: A transnational exchange of experiences)
- Denis Suarsana: 11th International Conference “Challenges of Europe: growth, competitiveness and inequality”, Hvar, Croatia, “Inclusive capitalism in the second machine age – Translating a Rawlsian utopia into 21st century reality”
- Denis Suarsana: Kaunas, Lithuania, Conference “Management horizons in changing economic environment: Visions and challenges”, “Employee share ownership and corporate governance: Creating engaging long-term oriented shareholders”
- Denis Suarsana: Symposium Split, Croatia, “Inclusive capitalism in the second machine age – Translating a Rawlsian utopia into 21st century reality”

2014

- Katarzyna Goebel: Symposium Procida, Italy, “The Energiewende at the local level: Consumer Stock Ownership Plan (CSOP) as a concept complementary to the energy co-operative model?”
- Katarzyna Goebel: Wrocław, Willy Brandt Centre of European and German Studies, Conference “Weakening the nation-state? Transnational spaces in Europe”, “The Energiewende at the local level: The CSOP as a concept complementary to the energy co-operative model?”
- Katarzyna Goebel, University of Split, Croatia, Conference on Energy Law, “Financing decentralised energy production through CSOPs”

- Stefan Hanisch: Moscow Economic Forum 2014, “Noveyshie initsiyativy Yevropeyskogo Soyuzha po pooshchreniyu finansovogo uchastiya rabochikh na prepriyatiyakh” (Latest initiatives of the EU to promote EFP)
- Stefan Hanisch: Moskovskoe obshchestvo ispytateley prirody (Moscow Society of the Discoverer of the Nature), guest lecture “Ideya ekonomicheskoy dekratii i finansovoe uchastie rabochikh na prepriyatiyakh v Yevropeyskom Soyuze (The idea of economic democracy and EFP in the EU)
- Stefan Hanisch: Symposium Procida, Italy, “Employee financial participation in Russia from a comparative perspective”
- Stefan Hanisch: Pskov State University, Russia, “Ideya ekonomicheskoy dekratii i finansovoe uchastie rabochikh na prepriyatiyakh v Yevropeyskom Soyuze (The concept of economic democracy and EFP in the EU)
- Stefan Hanisch: Pskov State University, Russia, guest lecture “Neravenstvo i kapita: Noveye vyzovy demokratii i rynochnoy ekonomike” (Inequality and capital: New challenges to democracy and market economy)
- Gyula Kocsis: York, Conference “Employee ownership: Research and practice”, “Organisational social capital resulting from employee share ownership? Employees views on organisational social capital in companies with and without employee share ownership in the German manufacturing industry”
- Gyula Kocsis: Frankfurt a. M., Expert Conference of IAFP and AGP at White & Case LLP, “Introducing the Effective Tax Rate Calculator and the Virtual Centre for Employee Financial Participation”
- Gyula Kocsis: Symposium Procida, Italy, “Employee relations and attitudes in the context of employee ownership schemes”
- Denis Suarsana: Symposium Procida, Italy, “The 29th regime – A concept for a Common European Regulatory Framework on EFP in the EU”

2013

- Stefan Hanisch: University Opole, Poland, Conference “Labour market and higher education”: “Sociedades Laborales: A good practice to connect labour market policy and local business development”
- Stefan Hanisch: Tashkent, Academy of public administration under the President of the Republic of Uzbekistan, “Finansovoe uchastie rabochikh na predpriyatiyakh v Yevropeyskom Soyuze kak strategiya ekonomicheskoy

politiki i politiki zanyatosti” (EFP as a strategy for European economic and labour market policy)

- Gyula Kocsis: Frankfurt (O.), Conference “EFP in SMEs – A strategy for labour market policy and regional business development”, “Mitarbeiterbeteiligung und Sozialkapital – Qualität des Arbeitsumfelds und Unternehmensführung” (EFP and social capital – Work environment quality and corporate governance)

2012

- Stefan Hanisch: St Petersburg State Univ., Conf. ‘Social capital in the modern society’, “Finansovoe uchastie rabotnikov na predpriyatiyakh kak instrument razvitiya sotsial’nogo kapitala” (EFP as an instrument to develop social capital)

2011

- Stefan Hanisch: European University Viadrina Frankfurt (O.), Seminar “Post-Socialist legal cultures in the former Soviet Union” at the summer school “Post-Socialist Cultures and Societies”
- Gyula Kocsis: Brussels, Conference “The Week of Employee Financial Participation in the EU”, “Policy proposals on EFP for the EU 2020 Strategy”

D o c t o r a l t h e s e s

Monika Bucha, dissertation in the context of WIMBY (topic yet to be defined).

Katarzyna Goebel: Financing decentralised energy production through financial participation of consumers. Consumer Stock Ownership Plans as an alternative to traditional models? The examples of Poland and Germany (defended in 2022)

Florian Hanke: Factors impacting the participation of underrepresented groups in energy communities (pending)

Renan Magalhães, dissertation in the context of FinSESCo (topic yet to be defined).

Denis Suarsana: Employee financial participation in the EU – A concept for a common European regulatory framework (defended in 2020)

Lucas Roth: Der Einfluss von Konsumentenbeteiligung an erneuerbaren Energien auf nicht-kommerzielle Laststeuerung von elektrischem Strom (The influence of consumer participation in renewable energies on non-commercial load control of electric power) (to be defended in 2023)

Felicia van Tulder: Inquiring social innovation in community energy through a gender lens (pending)

P u b l i c a t i o n s

1. Books / Studies



Lowitzsch, Jens (2022) H2020 Final Report – Consumer Stock Ownership Plans (CSOPs) – Financing Energy Communities. Berlin.

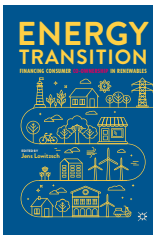
Lowitzsch, Jens / Iraj Hashi (eds) (2022, forthcoming) The PEPPER V Report – Assessing employee participation in profits & enterprise results in the EU-27. Frankfurt (O.).

Lowitzsch, Jens et al. (2021) Participación financiera para una Nueva Europa Social (Financial participation in the social market economy of the European Union). Bilbao.



Lowitzsch, Jens et al. (2021) “Finantza-partaidetza Europa Sozial Berrirako (Financial participation in the social market economy of the European Union). Bilbao.

Knösel, Peter / Lowitzsch Jens (2021) “Reader: Overview of German immigration law”. Frankfurt (O.).



Lowitzsch, Jens (ed.) (2020) Verbreitung der Mitarbeiterkapitalbeteiligung in Deutschland und Europa – Entwicklungsperspektiven (Incidence of employee share ownership in Germany and Europe – Development prospects), Study commissioned by the Federal Ministry for Economic Affairs and Energy. Frankfurt (O.).

Lowitzsch, Jens (ed.) (2019) Energy transition: Financing consumer co-ownership in renewables – 18 country studies and a comparative analysis. London, New York and Shanghai: Palgrave Macmillan.



Lowitzsch, Jens et al. (2018) Finansovoe uchastie rabochikh na predpriyatiyakh v Yevropeyskim Soyuze (Employee financial participation in enterprises in the European Union). Updated edition. Berlin / Frankfurt-na-Odere).

Lowitzsch, Jens / Hashi, Iraj / Dunsch, Sophie (2017) Spanish Sociedades Laborales – Activating the unemployed. A potential new EU active labour market policy instrument. Houndmills, New York: Palgrave Macmillan.

Lowitzsch, Jens (2017) Employee financial participation in Germany – Focus: employee share ownership schemes. Study for the EU project „New social co-operation model”. Frankfurt (O.)

Lowitzsch, Jens et al. (2015) Das Deutsche und Polnische ESOP-Modell – Ein innovatives Instrument zur Unternehmensnachfolge in KMU (The German and the Polish ESOP model – An innovative tool for business succession in SMEs). Frankfurt (O.): Deutsch-Polnische Wissenschaftsstiftung.

Lowitzsch, Jens / Hashi, Iraj et. al. (2014) A study on the promotion of employee ownership and participation. Brussels: European Commission DG MARKT.

Lowitzsch, Jens / Hanisch, Stefan (eds) (2014) Mitarbeiterkapitalbeteiligung unter Verwendung einer Beteiligungsgesellschaft – Gestaltung und Finanzierungsansätze (Employee share ownership with an intermediary entity – Legal design and financing). Düsseldorf: Hans-Böckler-Stiftung.

Lowitzsch, Jens et al. (2013) Towards a 28th regime for financial participation in the EU. Outcomes of the Week of EFP at the European Economic and Social Committee (EESC). Frankfurt (O.).

Lowitzsch, Jens et al. (2012) Finansovoe uchastie rabochikh na predpriyatiyakh v Yevropeyskom Soyuze (Employee financial participation in enterprises in the European Union). Berlin /Frankfurt-na-Odere / Moskva: Inter-University Centre.

Lowitzsch, Jens / Hashi, Iraj et al. (2012) Employee financial participation in companies' proceeds. Brussels: European Parliament.

Lowitzsch, Jens / Hashi, Iraj (eds) (2012) Employee financial participation in public services in the European Union. Brussels: European Centre of Employers and Enterprises providing Public Services (CEEP).

Lowitzsch, Jens et al. (2010) Partycypacja finansowa w społecznej gospodarce rynkowej Unii Europejskiej (Financial participation in the social market economy of the European Union). Kraków.



2. Articles

(i) Consumer (co-)ownership in renewables and sustainable transitions

Lowitzsch, Jens / Kreutzer, Kaja / George, Jan / Croonenbroeck, Carsten / Breitschopf, Barbara (2022, forthcoming) "Development prospects for Energy Communities in the EU - Identifying Current Best Practice and Future Opportunities using a Morphological Approach". *Energy Policy*.

Lowitzsch, Jens / Roth, Lucas / Novo, Riccardo / Croonenbroeck, Carsten / Otto, Philipp (2022, forthcoming) "Fair Value Sharing for Electricity Sharing Energy Communities". *Energy Research & Social Science*.

Roth, Lucas / Lowitzsch, Jens / Bretzigheimer, Domenico (2022, forthcoming) "(Co-)ownership in renewable energy production facilities as a driver for energy efficiency – empirical insights from Germany". *Energy Research & Social Science*.

Lowitzsch, Jens / Roth, Lucas / Novo, Riccardo (2022, forthcoming) "From Sharing Electricity in Energy Communities to a Fair Allocation of Value". *Applied Energy*.

Lowitzsch, Jens / Croonenbroeck, Carsten / Novo, Riccardo (2022, forthcoming) „How to best support renewable and citizen energy communities in the light of the transposition of the RED II and the IEMD". *Energy Research & Social Science*.

Roth, Lucas / Lowitzsch, Jens / Yıldız, Özgür (2022, forthcoming) "How does co-ownership in renewables and socio-demographic background impact consumer willingness to apply energy efficiency measures? – Empirical insights from German households". *Energy Research & Social Science*.

Hoicka, Christine E. / Lowitzsch, Jens / Brisbois, Marie-Claire / Kumar, Ankit / Ramirez Camargo, Luis (2021) "Implementing a just renewable energy transition: Policy advice for transposing the new European rules for Renewable Energy Communities". *Energy Policy*.

Lowitzsch, Jens / Memon, Junaid / Mishra, Satyendra (2021) "Harnessing the potential of consumer (co-)ownership in renewables in the Asian energy transition: A comparative study of Pakistan and India". *Energy Research & Social Science*, under review.

Roth, Lucas / Lowitzsch, Jens / Yıldız, Özgür (2021) "An empirical study of how household energy consumption is affected by co-owning different technological means to produce renewable energy and the production purpose". *Energies*.

Roth, Lucas / Yıldız, Özgür / Lowitzsch, Jens (2021) "An Empirical Approach to Differences in Flexible Electricity Consumption Behaviour of Urban and Rural Populations—Lessons Learned in Germany". *Sustainability*.

- Gauthier, Claire (2020) "Return of the same: the COVID-19 crisis as an opportunity for or threat to the EU's energy and climate policy?". IFES-COVID19-Blog.
- Hanke, Florian / Lowitzsch, Jens (2020) "Empowering vulnerable consumers to join Renewable Energy Communities — Towards an inclusive design of the Clean Energy Package". *Energies*, Volume 13, Issue 7, pp. 1615.
- Lowitzsch, Jens (2020) "Consumer Stock Ownership Plans (CSOPs) – the prototype business model for Renewable Energy Communities". *Energies*, 13 (1), pp. 118.
- Lowitzsch, Jens (2020) "Investing in a renewable future – Renewable Energy Communities, consumer (co-)ownership and energy sharing in the Clean Energy Package". *European Energy & Climate Journal*, Volume 9, Sept. 2020.
- Lowitzsch, Jens / Borroni, Andrea / Tartaglia, Angelo (2020) "Introduzione alle comunità energetiche – quadro normativo di riferimento, misure di attuazione e incentivi" (Introduction to energy communities – Regulatory framework, implementation measures and incentives). *Tutela e Sicurezza del Lavoro*, Università degli studi di Milano – Bicocca.
- Lowitzsch, Jens / Hoicka, Christine E. / van Tulder, Felicia J. (2020) "Renewable Energy Communities under the 2019 European Clean Energy Package – Governance model for the energy clusters of the future?". *Renewable and Sustainable Energy Reviews* Volume 122.
- Santantonio, Silvia / Mutani, Gulielmina / Lowitzsch, Jens / Roth, Lucas / Pascal Slevec (2020) "Economic incentives for energy efficiency measures and low-emissions technologies". *IEEE CANDO EPE 2020, 3rd International IEEE Conference AND workshop in Óbuda on Electrical and Power Engineering*.
- Baigorrotegui, Gloria / Lowitzsch, Jens (2019) "Institutional aspects of consumer (co-) ownership in Renewable Energy Communities". In: Lowitzsch, Jens (ed.) (2019) *Energy transition*, loc. cit.
- Croonenbroeck, Carsten / Lowitzsch, Jens (2019) "From fossil to renewable energy sources". In: Lowitzsch, Jens (ed.) (2019) *Energy transition*, loc. cit.
- Gauthier, Claire / Lowitzsch, Jens (2019) "Outlook: Energy transition and regulatory framework 2.0: Insights from the European Union". In: Lowitzsch, Jens (ed.) (2019) *Energy transition*, loc. cit.
- Gauthier, Claire / Roberts, Josh (2019) Policy Brief "Energy communities in the 28 draft National Energy and Climate Plans", in cooperation with rescop.eu and Friends of the Earth Europe.

- Hanke, Florian / Lowitzsch, Jens (2019) "Consumer (co-)ownership in renewables, energy efficiency and the fight against energy poverty – a dilemma of energy transitions". *Renewable Energy Law & Policy Review (RELPL)* Vol. 9 Issue 3, pp. 5-21.
- Hanke, Florian / Lowitzsch, Jens (2019) "Renewable energy cooperatives". In: Lowitzsch, Jens (ed.) (2019) *Energy transition*, loc. cit.
- Lowitzsch, Jens (2019) "Introduction: The challenge of achieving the energy transition". In: Lowitzsch, Jens (ed.) (2019) *Energy transition*, loc. cit.
- Lowitzsch, Jens (2019) "The consumer at the heart of the energy markets?". In: Lowitzsch, Jens (ed.) (2019) *Energy transition*, loc. cit.
- Lowitzsch, Jens (2019) "The CSOP-financing technique: Origins, legal concept and implementation". In: Lowitzsch, Jens (ed.) (2019) *Energy transition*, loc. cit.
- Lowitzsch, Jens (2019) "Conclusions: The role of consumer (co-)ownership in the energy transition". In: Lowitzsch, Jens (ed.) (2019) *Energy transition*, loc. cit.
- Lowitzsch, Jens (2019) "Financing renewables while implementing energy efficiency measures through Consumer Stock Ownership Plans – The H2020 project SCORE". *IOP Conference Series: Earth and Environmental Science* Vol. 290.
- Lowitzsch, Jens (2019) "Investing in a renewable future – Renewable Energy Communities, consumer (co-)ownership and energy sharing in the Clean Energy Package". *RELPL* Vol. 9 Issue 2, pp. 14-36.
- Lowitzsch, Jens / van Tulder, Felicia (2019) "Overview of the examples of consumer (co-)ownership from the country chapters". In: Lowitzsch, Jens (ed.) (2019) *Energy transition*, loc. cit.
- Lowitzsch, Jens / Yildiz, Özgür (2019) "Consumer Stock Ownership Plans – Neue Wege zur Finanzierung von Energieinfrastrukturen" (CSOPs – New way to financing energy infrastructures). *Energiewirtschaftliche Tagesfragen* 1/2.
- Mishra, Satyendra Nath / Lowitzsch, Jens (2019) "Consumer (co-)ownership in renewables in India". In: Lowitzsch, Jens (ed.) (2019) *Energy transition*, loc. cit.
- Roth, Lucas / Lowitzsch, Jens / Yildiz, Özgür/ Hashani, Alban (2018) "Does (co-)ownership in renewables matter for an electricity consumer's demand flexibility?". *Energy Research & Social Science* Vol. 46, Dec. 2018, pp. 169-182.
- Lowitzsch, Jens (2017) "Community participation and sustainable investment in city projects: The Berlin Water Consumer Stock Ownership Plan". *Journal of Urban Regeneration and Renewal* Volume 10, Number 2, 2017, pp. 138-151.

- Lowitzsch, Jens (2016) "The Berlin Water Consumer Stock Ownership Plan: Maintaining a clean Spree". In: Newsletter Semestrale del Dipartimento Interateneo di Scienze, Progetto e Politiche del Territorio Politecnico e Università di Torino (newDist) Special issue: SBE16 Towards Post-Carbon Cities Volume 1, 2016, pp. 248-260.
- Lowitzsch, Jens / Goebel, Katarzyna (2013) "Zur Reform des polnischen Energierechts – Das Energie-Dreipack" (On the reform of the Polish Energy Law – The Energy Threepack). NZER, Vol. 1/2013;
- Lowitzsch, Jens / Goebel, Katarzyna (2013) "Vom Verbraucher zum Energieproduzenten – Finanzierung dezentraler Energieproduktion unter Beteiligung von Bürgern als Konsumenten mittels sog. Consumer Stock Ownership Plans" (Consumers to energy-producers – Financing decentralised renewable energy production through CSOPs). NZER, Vol. 3.

(ii) Employee financial participation

- Lowitzsch, Jens / Hanisch, Stefan (2021) "Wo bleibt bei der neuen Gesetzgebung der Mittelstand?" GoingPublic Magazin, 7. Jahrgang, November 2021, Spezial Mitarbeiterbeteiligung.
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Events

2021

November 17/18

SCORE Final Conference at the Politecnico di Torino.

September 30

SCORE panel at the COME RES (H2020) conference.

April 20

SCORE panel at the Berlin Energy Days: Presenting the Essen pilot project, Germany's first renewable energy community to practice "electricity sharing"

March 26

EUFORES workshop for policy makers "Making the Clean Energy Package work for citizen and community energy / Transposition guidance for REDII and IEMD" Part 2; in cooperation with EREF, FoEE, REScoop.eu

March 25

SCORE "Follower City" Exchange Workshop for follower pilot projects from Portugal, Italy, Spain, the Netherlands, Poland and the Czech Republic

March 24

EUFORES Workshop for civil society organisations "Citizen energy – making it real. How to transpose RED II and IEMD", in cooperation with EREF, Friends of the Earth Europe, REScoop.eu

February 26

SCORE Webinar: "Pilot projects meet follower cities" with a focus on electricity / energy sharing

2020

November 17

EUFORES workshop for policy makers "Making the Clean Energy Package work for citizen and community energy / Transposition guidance for REDII and IEMD" Part 1; in cooperation with EREF, FoEE, REScoop.eu

November 16

MAPS project workshop “5 years refugee crisis”: Overview of the German legal framework for migration and asylum and social aspects of the situation of refugees and migrants

October 15

SCORE pitch at the Joint Programming Conference Smart Energy Systems 2020 ERA-Net Smart Energy Systems – SCORE accepted as Living Lab

July 16

SCORE Webinar on CSOP financing at the Fraunhofer ISI Karlsruhe

June 29

Online panel discussion at the EU Sustainable Energy Week (EUSEW) 2020 “Towards a fair energy transition: enabling vulnerable consumers to take part in energy communities”

June 17

SCORE Webinar for the Essen pilot “Inclusive financing of a fair energy transition for municipalities”

April 2

SCORE Workshop in Essen “Bürgerenergie und Inklusion – Mehr Teilhabe an der Energiewende” (Citizen energy and inclusion – More participation in the energy transition)

February 7

SCORE focus group meeting in the Italian pilot

January 7

Research seminar “The EU and Poland: Insights from the energy and climate policy” at the Viadrina Institute for European Studies (IFES)

2019

September 19-23

Symposium “Property rights, digitalisation and the energy transition”, Procida, Italy

June 17

Panel discussion at the EUSEW 2019 in Brussels “Including vulnerable groups in RE Communities – Towards an inclusive design of the energy transition”

May 28

Panel discussion and analysis of the EU election results 2019, public event at European University Viadrina

May 23

SCORE Workshop at the International Conference on Climate Action (ICCA) 2019 in Heidelberg “Fishbowl discussion”



ICCA 2019, Heidelberg

May 22

Climate Neighbourhoods – Workshop 6 CLUSTER: Funding approaches for upscaling scaling up solutions at the climate neighbourhoods “Cooperatives and CSOPs”

March 19-21

SCORE partner exchange workshop at European University Viadrina: “Step-by-step towards your local action plan”

2018

November 12-25

Patricia Kelso, President of the Kelso Institute San Francisco visits Viadrina

October 1-3

Presentation of CSOP financing at the Climate Alliance Conference, Barcelona

July 22

SCORE: Presentation of CSOP financing at the IPSA World Congress of Political Science, Brisbane

June 8

EASME Contractors Meeting on energy poverty, Brussels



Meeting with the MEP Theresa Griffin, June 2018

June 6

SCORE: “Bürgerenergie und Inklusion”, Caritas annual congress

June 5-7

EUSEW: Networking presentation of SCORE at the EU Sustainable Energy Week 2018 in Brussels

May 24

SCORE: CSOP presentation as part of the event “Collaborative research in H2020 – Promoting German-Polish cooperation in EU research funding” at Viadrina

April 16-17

SCORE: Kick-off meeting at the European University Viadrina

2017

June 29

Novel signature of the privileged partnership between the European University Viadrina and Université Paris 1 Panthéon-Sorbonne

May 17-21

Symposium “Towards a re-conceptualisation of property rights”, Procida, Italy

2016



Prof. Richard Freeman of Harvard at the Berlin Workshop, Nov. 2016

November 15

Employee share ownership and profit-sharing workshop at Harnack House, Berlin, jointly organised by the Inter-University Centre, the University of Oslo, and the Labor and Worklife Program at Harvard Law School

April 21

Information event and panel discussion “Collective bargaining and representation of temporary workers in Europe: Developing and strengthening industrial relations in response to the changing European labour market”, at European University Viadrina, in the context of a project of organisations from five European partner countries (Germany, Italy, Macedonia, Portugal, Spain)

2015

May 27-29

Symposium “Property rights, inequality and consumer financial participation”, Split, Croatia

May 22

Reception on the LURITEC pilot installation in the Berlin East Harbour and presentation of the Berlin Water CSOP project

April 16-17

1st Workshop on (youth) unemployment in Europe, organised by Prof. Dr Georg Stadtmann, Prof. Dr iur. Jens Lowitzsch (European University Viadrina), Prof. Ph.D. Oskar Kowalewski (INE PAN, Warsaw), Frankfurt (O.)

2014

May 25 – June 3

Symposium and doctoral summer school “New b/orders of Social Europe in the course of the euro crisis. Property, the welfare state and distributive justice”, Procida, Italy

January 30

Conference “Taking action: Promotion of employee share ownership (ESO) – Debating concrete policy options” in the context of the EU project “Implementation of the pilot project – Promotion of employee ownership and participation”, Brussels, European Commission

2013

December 4

Closing event of the project “Employee financial participation in small and medium-sized enterprises – A strategy for labour market policy and regional business development”, Frankfurt (O.)

100th Anniversary of Louis O. Kelso, Frankfurt (O.)



100th Anniversary of Louis O. Kelso

July 8-11

Study tour to Opole, Poland, in the context of the project “Employee financial participation in small and medium-sized enterprises – A strategy for labour market policy and regional business development”

May 27-30

Study tour to Gipuzkoa, Spain in the context of the project “Employee financial participation in small and medium-sized enterprises – A strategy for labour market policy and regional business development”

March 18

Workshop “EFP in small and medium-sized enterprises – A strategy for labour market policy and regional business development”, Frankfurt (O.)

February 4-5

Conference “EFP in small and medium-sized enterprises – A strategy for labour market policy and regional business development”, Frankfurt (O.)

2012

December 19

Christmas lecture and film with subsequent discussion: Michael Glawogger's “Working man's Death” (2005)



October 30

Guest lecture of Patricia Kelso “Who will spend the wealth of nations” (discussants Iraj Hashi and Klaus Herrmann) and presentation VIADRINAnimate “on property ownership”, Frankfurt (O.)

October 29

Inauguration of the library donated by Mr Michael Lezius, former President of the Arbeitsgemeinschaft Partnerschaft in der Wirtschaft e.V. (AGP), Frankfurt (O.)



July 11

Film afternoon with seminar students with subsequent discussion: J. C. Chandor's “Margin Call”, Frankfurt (O.)

2011

December 14

Christmas lecture “On Leisure” / Film with subsequent discussion: Peter Weir’s “Dead Poets Society”, Frankfurt (O.)

October 26

Guest lecture of Patricia Kelso “Why is the world still poor, more than 200 years after the Industrial Revolution” / Film with subsequent discussion with students: Iciar Bollain’s “Even the Rain”, Frankfurt (O.)

October 17-19

“Week of EFP” at the EESC, Brussels: 20 Viadrina students co-organised the conference and took part in the event, five of them as speakers

June 1

Guest lecture of Patricia Kelso “On financial crises” (discussant Klaus Herrmann) / Film: Charles Ferguson’s documentary “Inside Job”, Frankfurt (O.)

May 31

Inaugural lecture of Prof. Dr iur. Lowitzsch “A power over a man’s support is a power over his will” – An essay on property in response to Tony Judt

May 30/31

German conference on employee financial participation at European University Viadrina, in the context of the project “Information and communication project – Promoting EFP in the EU-27, Frankfurt (O.)



Press conference with former EC Vice-President Günther Verheugen

2010

December 15

Film afternoon with seminar students with subsequent discussion: Erwin Wagenhofer’s documentary “Let’s Make Money”, Frankfurt (O.)

October

The Kelso Professorship is inaugurated at European University Viadrina.

Audio - visual productions



2014

Promotional video:
“Introducing the effective tax rate calculator”



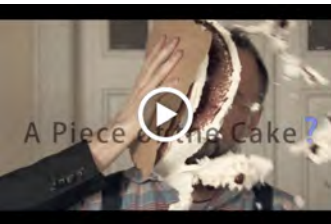
2014

Animation:
“Capital at work” (DE/FR/EN)



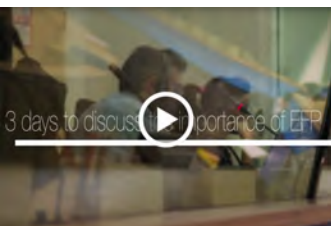
2013

Conference trailer: “Taking action:
Promotion of employee share ownership”



2012

Internet teaser:
“A piece of the cake”



2011

Conference trailer:
“The Week of EFP at the EESC”





2019

Slupsk pilot project video:
“Mitigating Energy poverty”



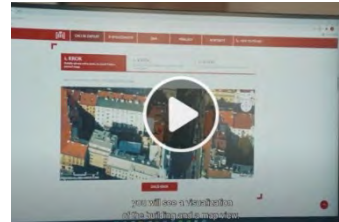
2020

Animation:
“RE-CSOPs Capital at work”



2021

Promotional video:
“Introducing the Czech PSOE Website”



2021

Susa Valley pilot project video:
“Venaus Hydropower Energy Community”



2021

SCORE Conference trailer:
“Challenges to be met”



I m p r e s s u m

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